



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
OFFICE OF CERTIFICATE OF CORRECTION

Inventor(s) Lam et al.

Patent No.: 7,231,502 B2

Issue Date: June 12, 2007

Serial No.: 10/771,854

Examiner: Stephen Elmore

Filing Date: February 04, 2004

Group Art Unit: 2185

Title: METHOD AND SYSTEM FOR STORING DATA

**SECOND REQUEST FOR CERTIFICATE OF  
CORRECTION OF LETTERS PATENT**

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December , 2008.

Jonathan Tyler  
Attorney Name

52,308  
Registration No.

  
Signature

December 10, 2008  
Date of Signature

**Attn: Mail Stop PETITIONS  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450**

Sir:

Pursuant to 37 C.F.R. 3.81(b), the patentee requests issuance of a  
Certificate of Correction of the applicant's errors found in the above-identified Letters  
Patent.

12/17/2008 SLUANG1 00000002 500700 7231502  
01 FC:1464 130.00 DA

Specifically, on the cover page Item No. (73), --Falcon Stor Software-- should be changed to "FalconStor, Inc." Enclosed is PTO Form SB/44 Certificate of Correction indicating the changes.

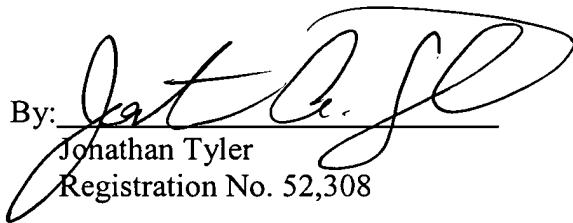
The Commissioner is hereby authorized to charge the processing fee set forth in 37 CFR 1.17(h) in the amount of \$130.00, or any additional fees which may be required, to Deposit Account 50-0988 in the name of Kaye Scholer, LLP.

The applicant's failure to include the correct assignee name on the PTOL-85B was inadvertent. A copy of the Notice of Recordation of Assignment Document, reflecting the reel and frame number where the assignment is recorded, is included.

It is respectfully requested that the file be forwarded to Certificates of Correction Branch, and that the Certificate of Correction be promptly issued.

Respectfully submitted,  
KAYE SCHOLER LLP

Dated: December 10, 2008

By:   
Jonathan Tyler  
Registration No. 52,308

**CORRESPONDENCE ADDRESS:**

KAYE SCHOLER LLP  
425 Park Avenue  
New York, NY 10022  
Telephone: (212) 836-7071  
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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7,231,502 B2

APPLICATION NO.: 10/771,854

ISSUE DATE : June 12, 2007

INVENTOR(S) : Wai T. Lam  
Xiaowei Li

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Cover page, Item No. (73), Assignee, change --Falcon Stor Software, Inc.-- to "FalconStor, Inc.".

### MAILING ADDRESS OF SENDER (Please do not use customer number below):

Brandon N. Sklar  
Kaye Scholer LLP  
425 Park Avenue  
New York, NY 10022-3598

Attorney Docket No.: 34826-1018

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 09, 2004

PTAS



\*102668127A\*

KAYE SCHOLER LLP  
425 PARK AVENUE  
NEW YORK, NY 10022-3598

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/04/2004

REEL/FRAME: 014962/0069  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LAM, WAI T.

DOC DATE: 01/29/2004

ASSIGNOR:

LI, XIAOWEI

DOC DATE: 01/29/2004

ASSIGNEE:

FALCONSTOR, INC.  
2 HUNTINGTON QUADRANGLE  
MELVILLE, NEW YORK 11747

SERIAL NUMBER: 10771854

FILING DATE: 02/04/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: METHOD AND SYSTEM FOR STORING DATA

DOCKETED

Date: 8/20/04

By: AAA

014962/0069 PAGE 2

STEVEN POST, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



Atty. Docket No.: 34826-1018

Form PTO-1595  
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

Tab settings

02-12-2004



U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissi

102668127

Attached original documents or copy thereof.

1. Name of conveying party(ies):

Wai T. Lam  
Xiaowei Li

2.4.04

2. Name and address of receiving party(ies)

Name: FalconStor, Inc.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: January 29, 2004

Street Address: 2 Huntington Quadrangle

City: Melville State: NY Zip: 11747

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

10771854

If this document is being filed together with a new application, the execution date of the application is: 02-04-2004

A. Patent Application No.(s) NEW

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kaye Scholer LLP

Internal Address: \_\_\_\_\_

Street Address: 425 Park Avenue

City: New York State: NY Zip: 10022-3598

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed.  
☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0988

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jonathan Tyler, Esq.

Name of Person Signing

Signature

February 4, 2004

Date

Total number of pages including cover sheet, attachments, and documents:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

30833368.pdf

02/11/2004 LMUELLER 00000168 500988 10771854

01 FC:8021

40.00 DA

031431 U.S. PTO  
10771854  
020404

**ASSIGNMENT OF APPLICATION**

Docket Number (Optional)

34826-1018

Whereas, I/We, Wai Tung Lam of Jericho, New York,  
Xiaowei Li of Hauppauge, New York,  
\_\_\_\_\_ of \_\_\_\_\_,  
hereafter referred to as "Applicant(s)," have invented certain new and useful improvements in METHOD AND  
SYSTEM FOR STORING DATA

☐ for which an application for a United States Patent was filed on \_\_\_\_\_ and  
having the Application Number \_\_\_\_\_, and

☒ for which an application for a United States Patent was executed on \_\_\_\_\_, and

Whereas, FalconStor, Inc. of Delaware, hereafter referred to as  
"Assignee," whose mailing address is 2 Huntington Quadrangle, Melville, New York 11747, is  
desirous of acquiring the entire right, title, and interest in the same;

Now, therefore, in consideration of the sum of ONE Dollar(s) (\$ 1.00), the receipt whereof is hereby  
acknowledged, and other good and valuable consideration, I/We, the Applicant(s), by these presents do sell,  
assign, and transfer unto said Assignee the full and exclusive right to the said invention in the United States  
and throughout the entire world and the entire right, title, and interest in and to any and all Patents which may  
be granted therefor. I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue  
said United States Patent to said Assignee, of the entire right, title and interest in and to the same, for his sole  
use and behoof, and for the use and behoof of his legal representatives, to the full end of the term for which said  
Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and  
sale not been made.

Executed this 29th day of January, 2004, at Melville, NY

State of New York )  
County of Suffolk ) SS:

[Signature]  
Signature

Wai Tung Lam

Printed Name/Registration No. (if applicable)

Before me personally appeared said Wai Tung Lam and acknowledged the foregoing  
instrument to be his free act and deed this 29th day of January, 2004, at Melville, NY

SETH R. HOROWITZ  
Notary Public of New York  
No. 02H05011290  
Qualified in Nassau County  
Commission Expires April 19, 2007

Seal

[Signature]  
(Notary Public)

Executed this 29<sup>th</sup> day of January, 2004, at Melville NY

State of New York )  
County of Suffolk ) SS:

Xiaowei Li  
Signature  
Xiaowei Li  
Printed Name/Registration No. (if applicable)

Before me personally appeared said Xiaowei Li and acknowledged the foregoing instrument to be his free act and deed this 29<sup>th</sup> day of January, 2004, at Melville NY

SETH R. HOROWITZ  
Notary Public of New York  
No. 02H05011290  
Qualified in Nassau County 2007  
Commission Expires April 19, 2007

Seal

[Signature]  
(Notary Public)

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, at \_\_\_\_\_

State of \_\_\_\_\_ )  
County of \_\_\_\_\_ ) SS:

\_\_\_\_\_  
Signature  
\_\_\_\_\_  
Printed Name/Registration No. (if applicable)

Before me personally appeared said \_\_\_\_\_ and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, at \_\_\_\_\_

Seal

\_\_\_\_\_  
(Notary Public)